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# Refractory primary and secondary headache disorders that dramatically responded to combined treatment of ultrasound-guided percutaneous suprazygomatic pterygopalatine ganglion blocks and non-invasive vagus nerve stimulation: a case series

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## ABSTRACT

In 1981, Devoghel achieved an 85.6% success rate in treating patients with treatment-refractory cluster headaches with alcoholization of the pterygopalatine ganglion (PPG) via the percutaneous suprazygomatic approach. Devoghel's study led to the theory that interrupting the parasympathetic pathway by blocking its transduction at the PPG could prevent or treat symptoms related to primary headache disorders (PHDs). Furthermore, non-invasive vagus nerve stimulation (nVNS) has proven to treat PHDs and has been approved by national regulatory bodies to treat, among others, cluster headaches and migraines.

In this case series, nine desperate patients who presented with 11 longstanding treatment-refractory primary headache disorders and epidural blood patch-resistant postdural puncture headache (PDPH) received ultrasound-guided percutaneous suprazygomatic pterygopalatine ganglion blocks (PPGB), and seven also received nVNS. The patients were randomly selected and were not part of a research study. They experienced dramatic, immediate, satisfactory, and apparently lasting symptom resolution (at the time of the writing of this report). The report provides the case descriptions, briefly reviews the trigeminovascular and neurogenic inflammatory theories of the pathophysiology, outlines aspects of these PPGB and nVNS interventions, and argues for adopting this treatment regime as a first-line or second-line treatment rather than desperate last-line treatment of PDPH and PHDs.

## BACKGROUND

Devoghel<sup>1</sup> developed a treatment regime based on results published in 1933<sup>2</sup> to treat patients with longstanding treatment-refractory cluster headaches.<sup>1</sup> He unsuccessfully treated the patients over at least 4 years with antihistamines, phenothiazines, H1-antagonists, methysergide, indomethacin, prednisone, propranolol, and lithium carbonate before the pterygopalatine ganglion (PPG) alcoholizations. Similar to more recent studies,<sup>3,4</sup> Devoghel found that the percutaneous suprazygomatic approach to the PPG is the simplest and safest technique, and

## WHAT IS ALREADY KNOWN ON THIS TOPIC

⇒ Primary headache disorders (PHDs) are challenging to treat effectively because the precise mechanisms that trigger attacks or episodes are unknown, and they are particularly refractory. Furthermore, postdural puncture headache (PDPH) treatment is mostly effective with an epidural blood patch (EBP), but it requires another epidural injection.

## WHAT THIS STUDY ADDS

⇒ Percutaneous suprazygomatic pterygopalatine ganglion blocks (PPGB) and non-invasive vagus nerve stimulation (nVNS) can provide immediate and long-lasting relief of the pain and other symptoms associated with primary and some secondary headache disorders and prevent further attacks. Repeated EBP failed to provide relief to our patients with PDPH.

## HOW THIS STUDY MIGHT AFFECT RESEARCH, PRACTICE OR POLICY

⇒ Research into the impulses that trigger the mechanisms that cause the pain associated with PHDs will help further demystify these disorders' elusive etiopathogenesis and pathophysiology. This case series argues for adopting PPGB and nVNS as first-line and second-line treatments rather than desperate last-line treatment modalities for PHDs and PDPHs and to compare standardized versions of them to modern drug therapies prospectively.

he achieved a remarkable success rate of 85.8% of patients who went into apparent permanent remission.<sup>1</sup>

While the etiopathogenesis of most secondary headaches are generally well understood,<sup>5</sup> that of primary headache disorders (PHDs) still eludes us.<sup>6-9</sup> However, regarding the pathophysiology of the pain associated with PHDs, the trigeminovascular theory (TVT), although disputed, has stood the test of time to simplify and comfortably explain



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information and experience obtained in research and clinical practice.<sup>10–14</sup> According to the TVT, pain associated with PHD involves a vicious cycle of parasympathetically induced vasodilation and inflammation caused by inflammatory mediators in the cerebral extraparenchymal blood vessels and the meninges.<sup>15 16</sup> It is reasonable to argue that interrupting this pathway with a conduction block could prevent vasodilatation and inflammation (whichever phenomenon causes the other) and, thus, nociception of the PHDs and, theoretically, that of postdural puncture headaches. Blocking the PPG is also theorized to be effective in treating ipsilateral autonomic symptoms classically associated with trigeminal autonomic cephalalgias, which follow similar anatomical pathways.<sup>17</sup>

Transcutaneous cervical vagus nerve stimulation (GammaCore, ElectroCore, Rockway, New Jersey, USA) has been approved for episodic cluster headaches since 2017.<sup>18</sup> A comprehensive update on the mechanism of action of non-invasive vagus nerve stimulation (nVNS) for PHD has recently been published by Silberstein and colleagues, in which they provide an in-depth review of the relationship between the vagus nerve and the trigeminal autonomic reflex.<sup>19</sup> These and the potent anti-inflammatory actions via the cholinergic anti-inflammatory process of nVNS are briefly discussed in the Discussion section.<sup>20 21</sup>

This communication presents nine case studies of desperate patients with severe treatment-refractory and long-standing suffering with PHDs who responded dramatically to percutaneous suprazygomatic pterygopalatine ganglion blocks (PPGB) and transcutaneous auricular vagus nerve stimulation (taVNS) (Parasym, London, UK) and briefly discusses the pathophysiology as it is currently understood as well as aspects and rationale of these treatment regimens.

## CASE DESCRIPTIONS

The patients in this case series included five patients with PHD (epicrania fugax, chronic paroxysmal hemicrania, new daily persistent headache, episodic cluster headache, and menstrual migraine without aura), one patient with two types of PHDs (menstrual followed by chronic retinal migraine), one with primary and secondary headache disorders (tension-type headache and medication overuse headache), and two patients with secondary headache disorders (postdural puncture headache) (table 1). The patients in this case series were randomly selected as they presented to individual practitioners and did not form part of a research project. They were all treated with PPGB (the video ‘Ultrasound Guided Sphenopalatine Ganglion Block’ on the Regional Anesthesiology and Acute Pain Medicine YouTube channel details this approach),<sup>1 3 4 22–25</sup> and seven of the nine also received taVNS.<sup>26–28</sup> These were offered as desperate attempts to manage their conditions. All cases (except for patients #8 and #9) suffered for many years from debilitating, severe refractory headaches and presented to individual practitioners who had vast experience with PPGB procedures and taVNS. These practitioners had abandoned epidural blood patch (EBP) to treat PDPH and replaced it with PPGB with excellent results for the previous 7 years. They had also gained extensive experience with PPGB for various persistent PHD disorders and postoperative pain management for conditions such as adult and pediatric tonsillectomy, pediatric cleft palate repair, functional endoscopic sinus surgery, and others. As with Devoghel’s cases,<sup>1</sup> all of the patients (except #2 and #6, who presented to seek alternative non-pharmacological treatments because of unwanted adverse effects) failed to respond to all known and locally available therapies (table 1) and were desperate for relief.

All the patients were Caucasian and provided written consent to publish their case studies. Patients were managed in different private practice out-of-hospital settings, thus precluding institutional review board approval for publication of the case reports.

The PPGBs were all performed with 4 mL of 0.5% ropivacaine and 3 mg (1 mL) of betamethasone per side via a 22-gage B-bevel needle after thorough numbing of the skin and subcutaneous tissue with 1%–2% lidocaine via a 27-gage needle. All the patients also received mild conscious sedation with low-dose propofol and midazolam as required. taVNS, applied to the tragus or concha of the patients’ ears at a frequency of 30 Hz and pulse width of 250  $\mu$ s for at least 60 min per day (but typically for longer and up to 180 min), was added to try to minimize any further exacerbations.

## DISCUSSION

This case series presents nine patients with 11 treatment-resistant and longstanding primary (eight) and secondary (three) headache disorders. The patients responded dramatically and potentially (as of the time of writing this report) permanently to PPGB and taVNS.

Despite the variety of disorders, we propose that their pathophysiology was comparable due to a common anatomical and biochemical pathway and process involved in generating pain, thus yielding similar results.

The etiopathogenesis of PHDs eludes us and that of PDPH is perhaps better understood, while the pathophysiology of these conditions is still being investigated and debated. It is complex, and several theories attempt to explain what causes the pain of PHD.<sup>6–10 15 29</sup> Although the neurogenic inflammatory theory<sup>29</sup> has to a large extent replaced the trigeminovascular theory (TVT) as the current favorite, the TVT still adequately, although perhaps in an oversimplified manner, and comfortably explains why PPGB, by blocking the parasympathetic pathway, is successful in treating PHD and PDPH, both characterized by meningeal cerebral extraparenchymal blood vessel dilatation and meningeal inflammation, whichever is first and of whatever thus far unknown other mechanism or cause(s)<sup>10–14</sup> (please see figure 1 for an abbreviated explanation of the TVT).

On the other hand, according to the neurogenic inflammatory theory,<sup>29</sup> inflammatory mediators—among others, substance-P, acetylcholine, and calcitonin gene-related peptides—are released by the trigeminal autonomic reflex from the trigeminal system and cause the extraparenchymal cerebral vasodilatation, an epiphenomenon that, according to this theory, does not per se cause the headache.

Nociceptive impulses originate from the inflamed meningeal areas and pass through the trigeminal ganglion, which comprises trigeminal pseudounipolar neurons, to the trigeminal nerve’s principal sensory, mesencephalic, and spinal nuclei. Additional inflammation and nociceptive impulses are generated in and around these nuclei and join those from the meninges to spread to other brain areas where the impulses are interpreted as pain. These other brain areas may include, but are not limited to, the superior salivatory nucleus and the trigeminal nerve and its branches, the spinal nucleus of the trigeminal nerve and the cervical nerves and muscles, the parabrachial nucleus, the periaqueductal gray matter, the hypothalamus, and the thalamus.

The etiopathogenesis of PDPH is, likewise, still not completely understood, but better than that of PHDs. In a 2003 porcine study, Boezaart<sup>30</sup> demonstrated a loss of approximately 0.3 mL of CSF per kg body weight of these animals (around 23–25 kg) aspirated from a cisterna magna needle puncture caused an

**Table 1** Case series of primary and secondary headache disorders

Case #	Diagnosis* ICHD-3 classification† <sup>5</sup> Sex and age	Headache quality and frequency	Triggers	HD duration pretreatment	Related symptoms	Location	Successful therapies	Unsuccessful therapies
1	<i>Epicrania fugax</i> <sup>41-44</sup> ICHD-3 Appendix class A4.11 F in mid-60s	Stabbing Electric 10–15 s 3–5 attacks per month	Sudden neck movements Vibrations Light touch to occipital area	30 years	Redness and tearing of right eye Right eye ptosis Right eyelid swelling Right-sided rhinorrhea (figure 1A)	Strictly right-side, unilateral Right occiput pain that spreads epicranially linearly to ipsilateral nares or maxilla	1st PPGB—51 weeks (figure 2) 2nd PPGB at 52 weeks, treatment included taVNS—7 months to WOR	3x ONB 3x cervical spine fusions OTC Indomethacin Valproic acid Topiramate Gabapentin Pregabalin Amitriptyline Opioids Acupuncture Physical therapy Chiropractic therapy
2	<i>Chronic paroxysmal hemicrania</i> <sup>45</sup> ICHD-3 class 3.2.2 F in mid-40s	Severe, stabbing sensation Predictable daily rhythm >5 attacks lasting 2–30 min per day Remission <3 months per year	Spontaneous	20 years	Left-sided tearing Conjunctival injection Eyelid edema Facial sweating Ptosis Agitation	Strictly unilateral Left parietal ridge, temple, eye, cheek, and ear	Indomethacin—treatment discontinued due to gastric symptoms PPGB, treatment included taVNS—15 months to WOR	Indomethacin OTC Verapamil Topiramate ONB Acupuncture
3	<i>New daily persistent headache</i> <sup>46</sup> ICHD-3 class 4.10 F in late 30s	Severe, pulsating, bitemporal, and behind eyes Clearly remembered onset date, time, and environment Predates HA medication usage >15 days per month	Spontaneous	4 years	Nausea and vomiting Light and sound sensitivity No autonomic symptoms	Bilateral	Partial relief with acupuncture PPGB and taVNS treatment—6 months to WOR	OTC Methylprednisolone Sodium valproate Amitriptyline ONB Doxycycline Lignocaine
4	<i>Chronic tension-type headache</i> <i>medication overuse headache</i> ICHD-3 classes 2.3 and 8.3-1–5 F in early 40s	Pressing and band-like Moderate to severe pain Pericranial muscle tenderness Lasting a few hours to a few days >15 days per month	Not exacerbated by physical activity	10 years	Photophobia Phonophobia	Bilateral	PPGB and taVNS—1 year to WOR	Naproxen Ergotamine Rizatriptan Tramadol OTC >10 days per month (partially successful; resulted in MOH) Amitriptyline Acupuncture Exercise therapy Sedatives ONB
5	<i>Menstrual migraine followed by chronic retinal migraine</i> ICHD-3 classes 1.2.1 and 1.2.4 F in mid-60s	Pre-TAH: pulsating, moderate to severe, aggravated by physical activity, once per month, pre-TAH and post-TAH lasting 4–24 hours, CRM more than 15 attacks per month	Triggered by menstruation After TAH headaches were spontaneous, with no specific triggers	24 years for MM 30 years for CRM	Pre-TAH: visual auras After TAH left-sided gradual monocular vision loss (white-outs) over 5 min resolving with onset of headache Phonophobia Phonophobia Severe nausea and vomiting Negative ophthalmological evaluation	Strictly left-sided unilateral Temporal and left eye	PPGB and taVNS—2 years to WOR	OTC Diclofenac sodium and free acid Topiramate Gabapentin Propranolol Amitriptyline Cannabidiol Acupuncture Botox Partial relief with rizatriptan and metoprolamide

Continued

**Table 1** Continued

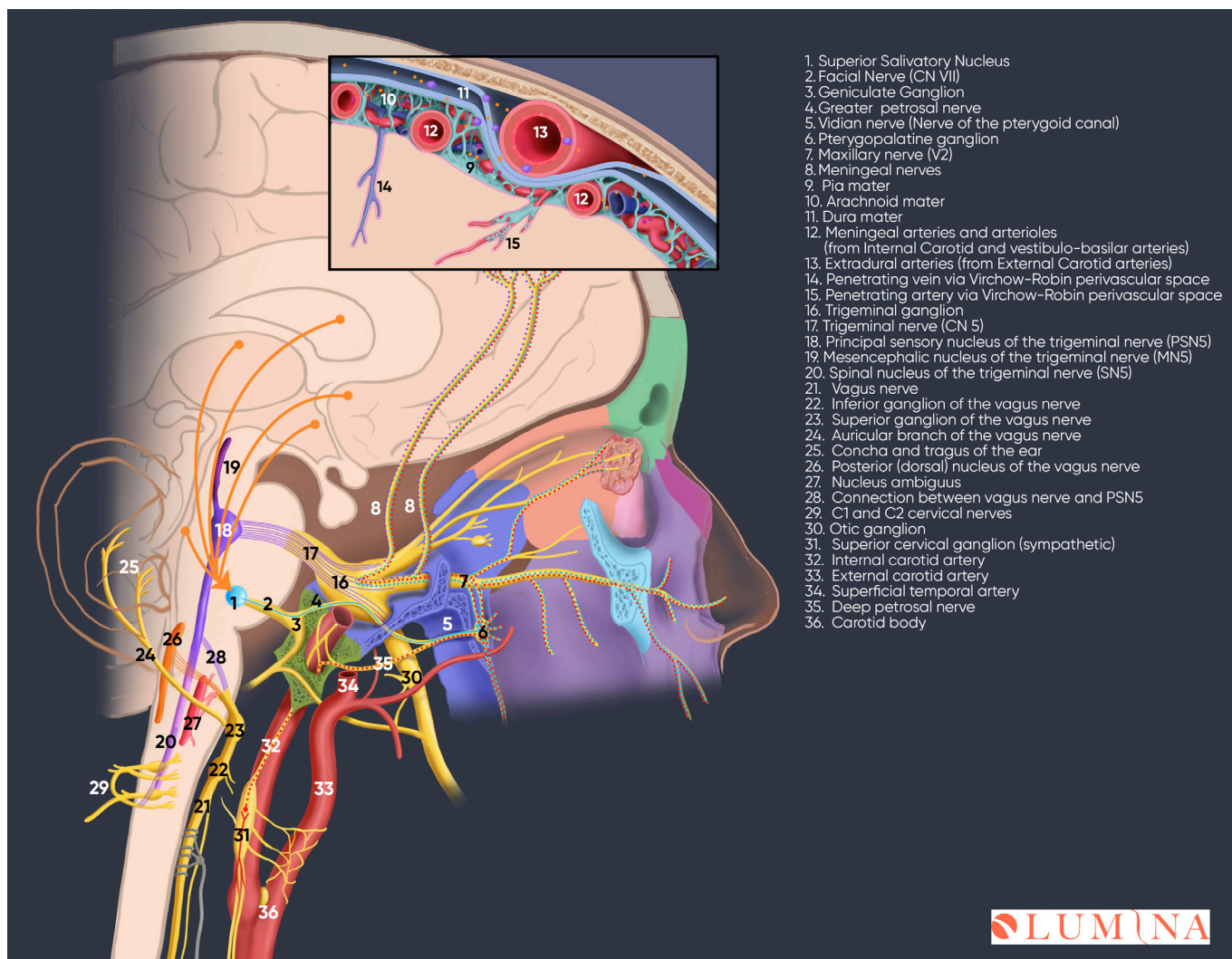
Case #	Diagnosis* ICHD-3 classification <sup>5</sup> Sex and age	Headache quality and frequency	Triggers	HD duration pretreatment	Related symptoms	Location	Successful therapies	Unsuccessful therapies
6	<i>Episodic cluster headache</i> ICHD-3 class 3.1.1 M in mid-50s	Severe and stabbing, lasting 15–180 min Up to eight times per day Attacks come in series lasting up to 3 months	Alcohol (especially red wine) Exercise Warm temperatures Warm drinks like tea or coffee	25 years	Restlessness Agitation Conjunctival injection Lacrimation Stuffy nose Eyelid edema Ptosis	Strictly right-sided unilateral Right orbital area	1st BSGB—8 year remission 2nd BSGB 10 year remission PPGB and taVNS—4 years to WOR	Inhaled O <sub>2</sub> Nasal and parenteral triptans Calcium channel blockers Anticonvulsants 3rd BSGB (note: absent Horner's with 3rd BSGB)
7	<i>Menstrual migraine without aura</i> ICHD-3 class 1.1 F in mid-40s	Moderate to severe throbbing Starts 3 days prior to menstrual cycle Lasts 3 days per month	Monthly premenstruation	35 years	No aura Nausea and vomiting Photophobia Phonophobia Aggravated by physical activity No autonomic symptoms	Strictly right-sided unilateral	Paracetamol and codeine combination Doxylamine PPGB and taVNS—16 months to WOR (online supplemental video, published with the patient's written permission)	
8	<i>Postural puncture headache</i> ICHD-3 class 7.1.2 M in mid-50s	Severe throbbing	Strictly positional on sitting or standing, relieved on lying down	4 months	Photophobia Phonophobia Mild nausea New-onset tinnitus Stiff neck	Bilateral occipital and behind eyes	PPGB—7 months to WOR	Epidural blood patch with 10 mL autologous blood
9	<i>Postural puncture headache</i> ICHD-3 class 7.1.2 M in late 40s	Severe throbbing	Strictly positional on sitting or standing, relieved on lying down	7 months	Photophobia Phonophobia Severe nausea Vomiting Motion sickness Weight loss Stiff neck	Bilateral occipital and behind eyes	1st PPG to WOR—nausea, vomiting, and severe motion sickness persisted 2nd–5th monthly PPG after monthly nausea, vomiting, and motion sickness (NV&MS) return 6th PPG—resolved NV&MS for 5 years to WOR after 6th PPG	5x epidural blood patch OTC Caffeine Hydration Bedrest ONB

\*Diagnosis as per Lumina Primary Headache Diagnostic Aid: <https://tools.lumina-pain.com/forms/primary-headache?tenant=trial>.

<sup>†</sup>As per <https://ichd-3.org/classification-outline/>.

BSGB, bilateral stellate ganglion block; CRM, chronic retinal migraine; F, female patient; HA, headache; HD, headache disorder (primary and secondary); ICHD-3, International Classification of Headache Disorders, third edition; M, male patient; MM, menstrual migraine; MOH, medication overuse headache; NV&MS, nausea, vomiting, and motion sickness; ONB, occipital nerve block; OTC, over the counter; PPG, bilateral percutaneous ultrasound-guided supraorbital ganglion block; TAH, total abdominal hysterectomy, taVNS, transcutaneous auricular vagus nerve stimulation; WOR, the time of writing of this report.





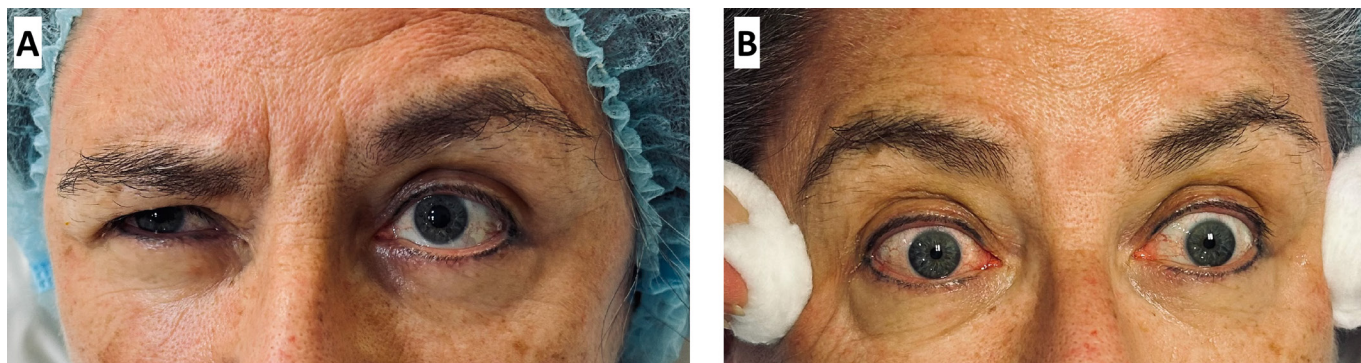
**Figure 1** Pathophysiology of PHD according to TVT. Impulses generated from different brain areas (orange arrows) travel to the salivatory nucleus (1) from where parasympathetic impulses travel via the facial nerve (2), geniculate ganglion (3), greater petrosal nerve (4), and Vidian nerve (5) to synapse in pterygopalatine ganglion (6). From here, postsynaptic parasympathetic impulses travel via the maxillary nerve (7) and meningeal nerves (8) to reach the meninges (10, 11) and extraparenchymal meningeal blood vessels (12), which are dilated and inflammatory mediators are released. Either the vasodilatation or the inflammation or both cause nociception, and the nociception impulses travel via the trigeminal ganglion (16) and trigeminal nerve (17) to the principal (18), mesencephalic (19), and spinal (20) nuclei of the trigeminal nerve causing the release of further inflammatory mediators to spread to other areas of the brain and be interpreted as pain (reproduced with the kind permission of Mary K. Bryson and Lumina Health). PHD, primary headache disorder; TVT, trigeminovascular theory.

immediate and significant increase in cerebral cortical blood flow. This, in turn, was immediately reversed by distant lumbar EBP—iatrogenic peridural hematoma<sup>31</sup>—which is known as an early and potent stimulus for cerebral vasoconstriction.<sup>32</sup> While vasodilation (in the case of PDPH, based on the Monro-Kellie hypothesis<sup>33</sup>), and inflammation, most likely due to the cortical vasodilatation or some trigeminal reflex, are probably shared features in some PHDs and PDPHs, we recognize that these conditions' specific etiology, triggers, and pathophysiological mechanisms differ.<sup>34</sup>

Despite the theoretical mechanistic differences and poorly understood pathophysiologicals, treating both PHD and PDPH with PPGB may share similar reasons to be effective.<sup>35</sup> These may include the disruption of parasympathetic-activated vasodilatation or inflammation at the PPG and the modulation of inflammatory neurotransmitters. Finally, it may have the potential to interrupt trigeminal activation and thus the transmission of pain signals during PHDs and PDPHs. By blocking conduction

through the PPG, the transmission of parasympathetic vasodilatory or inflammatory signals from the superior salivatory ganglion (figure 1) and pain signals from the trigeminal nerve to the central nervous system are disrupted, relieving headache symptoms.

There are several approaches to blocking the PPG.<sup>36</sup> However, suprazygomatic seems the safest percutaneous approach.<sup>3,4</sup> We have, over a 7 year period, not encountered any serious side effects in using PPGB on hundreds of patients for various indications (see Background section) other than transient numbness of the upper jaw and teeth and rarely lower jaw and tongue for as long as the local anesthetic agent is active, and postprocedure short-lived cheek tenderness and swelling. These could easily be treated by patient reassurance and with non-steroidal anti-inflammatory agents. Other extremely rare complications may be encountered, such as puncturing of the maxillary artery, hematoma formation, and intravascular injection. However, to date, we have not met these side effects, nor to the best of our



**Figure 2** (A) Before and (B) immediately after images of the patient with epicrania fugax (#1) undergoing a percutaneous suprazygomatic pterygopalatine ganglion block (photographs published with patient's kind permission).

knowledge have they been reported in literature. Patient discomfort during the procedure is limited to an absolute minimum if a fine needle is used for skin and subcutaneous local anesthetic lignocaine infiltration (or for the PPG) combined with mild levels of conscious sedation as indicated.

In the experience of the authors<sup>4,36</sup> and as argued by Narouze,<sup>37</sup> among others, the transnasal sphenopalatine ganglion block (SGB) yields inconsistent results. It may block the autonomic nerve fibers in the nasal cavity and interrupt some of the autonomic symptoms associated with PHD. Still, due to its unreliable results, we do not support its use. It may be argued that it is the safest approach to blocking the PPG (SGB). We concur with Narouze<sup>37</sup> that the transnasal approach most likely does not reach the PPG. If it does, it does so unreliably and with ineffective, minimal dosages of local anesthetic agents.

We cannot explain why short-acting local anesthetic agents combined with relatively short-acting (~3 months) steroids could have such lasting effects. Breaking the vicious cycles of vasodilatation and inflammation may partially explain it. However, the long-term effects may also be explained by our patients' compliant use of taVNS postprocedure. This long-lasting effect was especially apparent in the patient described as case #6 (CH, who experienced years of remission after single-injection superior cervical (stellate) ganglion blocks). Since remission recurred on three occasions after autonomic blocks in this patient, it is unlikely to be purely coincidental or a placebo effect. However, the patients with PPDH (cases #8 and #9), in whom the PBGB could not possibly have stopped the CSF leaks and whose leaks could arguably still have been active in the form of fistulae at the time of the blocks, were not treated with nVNS. This finding should be further debated and ultimately clarified by ongoing research. The answer to this question may pave the way for radiofrequency ablation<sup>38</sup> or other destructive techniques such as alcoholization<sup>1</sup> or PPG electrical stimulation.<sup>39</sup> The immediate effects on the patient with epicrania fugax (case #1, figure 2) can be partially explained by the blocking of the autonomic nerve fibers that run with the ophthalmic (V1) and maxillary (V2) nerves.

The precise mechanisms underlying the therapeutic effects of nVNS in PHD are still being investigated, and additional research is needed for a comprehensive understanding.<sup>8</sup> Evidence suggests that nVNS interacts with multiple aspects of headache pathophysiology.<sup>19</sup> These include short-term and long-term neurotransmitter modulation and thus a lower frequency of attacks, autonomic regulation leading to a decreased firing of trigeminal neurons, and hence acute relief of parasympathetic nervous system symptoms.<sup>19</sup> nVNS furthermore causes

nociceptive modulation, mitigating increased nociception and acute pain relief, decreased susceptibility to cortical spreading depression initiation and frequency, and thus acute attack (aura) resolution and reduced attack risk.<sup>19</sup> Finally, nVNS has potent anti-inflammatory effects through its effect on the cholinergic anti-inflammatory process.<sup>20,40</sup> This all ultimately leads to symptom relief and improved headache management with nVNS.

## CONCLUSIONS

The conclusive clinical benefit of PPG and nVNS, separately or combined for treating PHDs and, to a lesser extent, that of PDPH remains to be determined. Further research is required to compare this treatment strategy prospectively to other newer pharmacologic therapies. Because of the simplicity, relative safety, and cost-effectiveness of PPG and nVNS, these treatment modalities should be offered early on to patients with therapy-refractive or therapy-resistant PHDs and early on to patients with PDPH not responding to simple conservative non-invasive measures to eliminate yet another epidural injection (EBP). For the same reasons, we strongly advocate that it should be offered to patients as first-line or second-line treatment after failed simple pharmacological treatment with OTCs, triptans, etc., rather than desperate last-resort treatments—especially in middle-to-low-income developing countries where newer, expensive therapy modalities and super-specialized services and treatments are not readily available.

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**Ethics approval** This study involves human participants. The cases described in this case series were all managed in the offices of various private practitioners who are not attached or affiliated to nor associated with any institution. Institutional review boards or ethical review boards are therefore not applicable as the practitioners, who own their practices and who are the coauthors, have complete authority over their private practices. To comply with this, they would in fact have to give themselves approval, which would not make any sense. Participants gave informed consent to participate in the study before taking part.

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